

CFTC Staff Roundtable

Proposed Changes to Registration and Compliance Regime for Commodity Pool Operators and Commodity Trading Advisors

July 6, 2011, 9:30 a.m.

Robert Amedeo, National Futures Association, Altegris Investments

Daniel Driscoll, National Futures Association

John Grady, National Futures Association, Steben & Co.

Carol Wooding, National Futures Association

Alice Joe, U.S. Chamber of Commerce

Karrie McMillan, Investment Company Institute

Nevis Bregasi, Association of Institutional Investors, MFS Investment Management

Peter Bonanno, Securities Industry and Financial Markets Association, Goldman Sachs Asset Management

Stephen King, Securities Industry and Financial Markets Association, PIMCO

Matthew Nevins, Securities Industry and Financial Markets Association, Fidelity Investments

William Thum, Securities Industry and Financial Markets Association, Vanguard

Andrew Baker, Alternative Investment Management Association

W. Todd Groome, Alternative Investment Management Association

Jiri Krol, Alternative Investment Management Association

Marc Baum, Managed Funds Association, Serengeti Asset Management

Tom Lloyd, Managed Funds Association, Campbell & Co.

Alison Baur, Investment Adviser Association, Franklin Templeton Investments

Jennifer Setzenfand, Security Traders Association, Federated Investors

Martin Lybecker, Private Investor Coalition

Douglas J. Scheidt, Chief Counsel, Division of Investment Management, U.S. Securities and Exchange Commission

Sarah G. ten Siethoff, Senior Special Counsel, Division of Investment Management, U.S. Securities and Exchange Commission

Ananda Radhakrishnan, Director, Division of Clearing and Intermediary Oversight, U.S. Commodity Futures Trading Commission

Kevin Walek, Assistant Director, Division of Clearing and Intermediary Oversight, U.S. Commodity Futures Trading Commission

Amanda Leshner Olear, Special Counsel, Division of Clearing and Intermediary Oversight, U.S. Commodity Futures Trading Commission

Eileen Chotiner, Senior Compliance Analyst, Division of Clearing and Intermediary Oversight, U.S. Commodity Futures Trading Commission

Barry McCarty, Attorney-Advisor, Division of Enforcement, U.S. Commodity Futures Trading Commission

Carl Kennedy, Counsel, Office of the General Counsel, U.S. Commodity Futures Trading Commission